

(Read with Regulation 10)  
Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD  
Registration Number : 128  
Statement as on : 30-09-2016  
Statement of Down Graded Investments  
Periodicity of Submission: Quarterly  
Name of Fund : LIFE FUND

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	9.24% STERLITE BS 06-12-2022	OLDB	4.98	04/06/2015	CRISIL	AA+	AA-	28/01/2016	
	9.40% IDBI BANK BS 25-03-2026	OLDB	3.01	31/12/2014	CRISIL	AA	AA-	23/02/2016	
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	0.98	31/12/2014	CRISIL	AA	AA-	03/08/2015	
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	29.22	04/06/2015	CRISIL	AA	AA-	03/08/2015	
	9.60% HINDALCO IND LTD BS 02-08-2022	OLDB	7.50	04/06/2015	CRISIL	AA	AA-	03/08/2015	

**CERTIFICATION**  
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 03-11-2016  
Signature : .....  
Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

**Note:**  
1. Provide details of Down Graded Investments during the Quarter.  
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.  
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also  
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04  
FORM 2 PART A FOOTNOTE

(Read with Regulation 10)  
Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD  
Registration Number : 128  
Statement as on : 30-09-2016  
Statement of Down Graded Investments  
Periodicity of Submission: Quarterly  
Name of Fund : PENSION FUND

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

**CERTIFICATION**  
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Date : 03-11-2016

Signature : .....  
Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

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FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2016

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	9.05% BANK OF BARODA BS 27-08-2020	EUPD	4.91	31/12/2014	CRISIL	AAA	AA+	28/03/2016	
	9.40% STERILITE DB 25-10-2022	OLDB	4.95	31/12/2014	CRISIL	AA+	AA-	28/01/2016	

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Date : 03-11-2016

Signature : .....  
Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

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